

I. BIOGRAPHICAL INFORMATION

1. Full name (include any former names used.)
2. State the position for which you are applying.
3. Address: List current place of residence and office address(es). List all office and home telephone numbers where you may be reached.
4. Date and place of birth; if you were not born in the United States, state whether you are a U.S. citizen and, if applicable, give date and place of naturalization.
5. Marital Status (including maiden name of wife, or husband's name). List spouse's occupation, employer's name and business address(es). If divorced, provide date, name of moving party, the court in which the action was filed, the docket number, and the grounds on which the divorce was granted.
6. Education: List each college and law school you have attended, including dates of attendance, degrees received, and dates degrees were granted. Please include information regarding grade point average/class rank, and any academic honors.
7. Employment Record: List (by year) all business or professional corporations, companies, firms, or other enterprises, partnerships, institutions and organizations, nonprofit or otherwise, including firms, with which you were connected as an officer, director, partner, proprietor, or employee since graduation from college. Provide current address and phone number for the employer and the names of three supervisors, co-workers or partners familiar with your work during the time you held each position.
8. Military Service: Have you had any military service? If so, give particulars, including the dates, branch of service, rank or rate, serial number and type of discharge received.
9. Honors and Awards: List any scholarships, fellowship, honorary degrees, and honorary society memberships that you believe would be of interest to the Senator.
10. Bar Associations: List all bar associations or legal or judiciary-related committees, selection panels or conferences of which you are or have been a member, and give the titles and dates of any offices which you have held in such groups.
11. Court Admission: List all courts in which you have been admitted to practice, with dates of admission, whether you are a member in good standing, and lapses if any such membership lapsed. Please explain the reason for any lapse of membership. Give the same information for administrative bodies which require special admission to practice.

12. Other Memberships: List all organizations to which you belong that are active in lobbying before public bodies. Please list all other organizations to which you belong.
13. Published Writings: List the titles, publishers, and dates of books, articles, reports, or other published material you have written or edited. Please supply one copy of all published material. Also, please supply a copy of all speeches by you on issues involving constitutional law or legal policy. If there were press reports about the speech, and they are readily available to you, please supply them.
14. Health: What is the present state of your health? Do you suffer from any chronic medical conditions? Do you suffer from any medical conditions that might call into question your ability to fulfill the obligations of the office for which you are applying? Are you taking any medications? Have you been hospitalized in the last 5 years? Please describe in detail. Also, please list the date of your last physical examination.
15. Judicial Office: State (chronologically) any judicial offices you have held, whether such position was elected or appointed, and a description of the jurisdiction of each such court.
16. Citations: If you are or have been a judge, provide:
 - (1) a short summary of and citations for the ten most significant opinions you have written;
 - (2) a short summary of and citations for all rulings of yours that were reversed or significantly criticized on appeal, together with a short summary of and citations for the opinions of the reviewing court; and
 - (3) a short summary of and citations for all significant opinions on federal or state constitutional issues, together with the citation for appellate court rulings on such opinions.
 - (4) List by caption and case number the five most recent jury cases and non-jury cases tried before you. Identify the attorneys involved and provide phone numbers and addresses for the attorneys

If any of the opinions or rulings listed were in state court or were not officially reported, please provide copies of the opinions.
17. Public Office: State (chronologically) any public offices you have held, other than judicial offices, including the terms of service and whether such positions were elected or appointed. State (chronologically) any unsuccessful candidacies for elective public office.
18. Legal Career:

a. Describe chronologically your law practice and experience after graduation from law school including:

1. Whether you served as clerk to a judge, and if so, the name of the judge, the court and the dates of the period you were a clerk;
2. Whether you practiced alone, and if so, the addresses and dates;
3. The dates, names and addresses of law firms or offices, companies or governmental agencies with which you have been connected, and the nature of your connection with each;

- b.
1. What has been the general character of your law practice, dividing it into periods with dates if its character has changed over the years?
 2. Describe your typical former clients, and mention the areas, if any, in which you have specialized.

- c.
1. Did you appear in court frequently, occasionally, or not at all? If the frequency of your appearances in court varied, describe each such variance, giving dates.
 2. What percentage of these appearances was in:
 - (a) federal courts;
 - (b) state courts of record;
 - (c) other courts.
 3. What percentage of your litigation was:
 - (a) civil;
 - (b) criminal.
 4. State the number of cases in courts of record you tried to verdict or judgment (rather than settled); indicating whether you were sole counsel, chief counsel, or associate counsel.
 5. What percentage of these trials was:
 - (a) jury;
 - (b) non-jury.

19. Litigation: Describe the ten most significant litigated matters which you personally handled. Give the citations, if the cases were reported, and the docket number and date if unreported. Give a capsule summary of the substance of each case. Identify the party or

parties whom you represented; describe in detail the nature of your participation in the litigation and the final disposition of the case, excluding those terms that are confidential. Also state as to each case:

- (a) the date of representation;
- (b) the name of the court and the name of the judge or judges before whom the case was litigated; and
- (c) The individual name, addresses, and telephone numbers of co-counsel and of principal counsel for each of the other parties.

20. Legal Activities: In addition to those matters described in response to question 19, describe the most significant legal activities you have pursued. Describe the nature of your participation in this question. Please omit any information protected by the attorney-client privilege unless the privilege has been waived.
21. State whether your conduct/ability has ever been the subject of inquiry or criticism to any judge, court, disciplinary body, agency or other tribunal, or whether you have been disciplined/censured /sanctioned/held in contempt by any judge, court, disciplinary body, agency or other tribunal, or whether your conduct/ability has been subject of comment by any judge, court, disciplinary body, agency or other tribunal, and if so explain fully the facts and circumstances.
22. State whether your license or right to practice in or before any court, agency or other tribunal has ever been suspended, revoked, or denied, and if so, explain fully the facts and circumstances.
23. State whether you have ever been sued by a client, and if so explain fully the facts of each suit and provide the caption, case number, court in which any such suit was filed, and disposition of any such suit, as well as the names, addresses and phone numbers of counsel for any party representing an interest adverse to yours; provide a copy of the complain in each such case and any final judgement disposing of such complaint.
24. State whether there has ever been a claim against you or your insurer alleging malpractice in any way, and if so, explain fully the facts and circumstances of each claim, and provide a copy of each claim and any documents disposing of each such claim.
25. State whether you have ever been a party to or otherwise personally involved in any litigation other than as counsel, and if so, explain fully the facts and circumstances of each such suit and provide caption, case number, court in which any such suit was filed, and disposition of any such suit, as well as the names, addresses and phone numbers of counsel for any party representing an interest adverse to yours, and for you, in each instance, and provide a copy of the

complaint in each such case and any final judgement disposing of each complaint

26. Please provide a current copy of your resume.

27. Provide the names and current phone numbers of five persons who have knowledge regarding your character and ability, state how long each has known you, and describe briefly your relationship with each person. Do not provide the name of any individual already supplied in any other response to this questionnaire.

28. Provide the names and current phone numbers of five judges with whom you are not and have not been associated in the practice of law or in business before whom you have appeared recently in matters which would afford them an opportunity to observe your professional conduct and ability. Do not provide the name of any individual already supplied in any other response to this questionnaire.

29. Provide the names and current phone numbers of five attorneys who have represented adverse positions in matters handled by you during the past five years. Do not provide the name of any individual already supplied in any other response to this questionnaire.

30. Please describe any previous supervisory experience, including how many people were under your supervision, and the extent and nature of your supervision of those people.

31. Please provide all relevant information regarding the status of your firearms certification and training; include all past and present certifications, and explain the reason for any license revocation or lapse.

II. FINANCIAL DATA AND CONFLICT OF INTEREST

1. List sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, former employers, clients, or customers. Please describe the arrangements you have made to be compensated in the future for any financial or business interest.
2. Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern. Identify the categories of litigation and financial arrangements that are likely to present potential conflicts of interest during your initial service in the position for which you are applying.
3. Do you have any plans, commitments, or agreements to pursue outside employment, with or without compensation, during or after your service, if you are chosen to serve? If so, please explain.
4. List sources and amounts of all income received during the calendar year preceding this application and for the current calendar year, including all salaries, fees, dividends, interest, gifts, rents, royalties, patents, honoraria, and other items exceeding \$500 or more. (If you prefer to do so, copies of the financial disclosure report, required by the Ethics in Government Act of 1978, may be substituted here.)
5. Please complete the attached financial net worth statement in detail. (Add schedules as called for.)

III. GENERAL

1. Describe legal services that you have provided to disadvantaged persons or on a pro bono basis, and list specific instances and the amount of time devoted to each instance. Please describe all charitable and community activities; list specific instances and amount of time devoted to each activity.
2. Do you currently belong, or have you belonged, to any organization which discriminates on the basis of race, color, religion, sex, disability or national origin – through either formal membership requirements or the practical implementation of membership policies? If so, list, with dates of membership. What have you done to try to change these policies?
3. Please explain your interest in being considered for an appointment as Federal judge, United States Attorney, or United States Marshal. Why do you wish to serve in this position, and what specific qualifications and qualities would you bring to the position?
4. [Federal Judge and U.S. Attorney candidates only] What is the largest problem facing the American Judiciary today, and what steps would you take to solve or alleviate that problem? Please limit your answer to two pages.

IV. PERSONAL

1. Have you ever been discharged from employment for any reason or have you ever resigned after being informed that your employer intended to discharge you?
2. Have you and your spouse filed and paid all taxes (federal, state and local) as of the date of your application? Please indicate if you filed “married filing separately”. Did you make any back tax payments prior to your nomination? If so, provide details.
3. Have you or your family ever employed domestic help? Have you paid all taxes related to that employment?
4. Has a tax lien or other collection procedure (to include receipt of computer balance due notices) ever been instituted against you by federal, state, or local authorities? If so, give full details.
5. Have you or your spouse ever been the subject of any audit, investigation, or inquiry for federal, state, or local taxes? If so, give full details.
6. Have you or your spouse ever declared bankruptcy? If so, give particulars.
7. Do you now or have you ever had any delinquent or disputed child support and/or alimony payments? If so please give full details.

V. OTHER

1. Have you to your knowledge ever been under federal, state, or local investigation for a possible violation of either a civil or criminal statute, administrative agency regulation, administrative or departmental policy or procedure? If so, give full details. Has any organization of which you were an officer, director, or active participant ever been the subject of such an investigation with respect to activities within your responsibility? If so, give full details.

2.
 - A) Have you ever been charged with or convicted of any felony offense (including those under the Uniform Code of Military Justice)?

 - B) Have you ever been charged with or convicted of a firearms or explosives offense?

 - C) Are there currently any charges pending against you for any criminal offense?

 - D) Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?

 - E) Have you ever been charged with or convicted of any offense(s) related to domestic abuse or failure to provide child support?

 - F) In the last 7 years, have you been subject to court martial or other disciplinary proceedings under the Uniform Code of Military Justice?

 - G) In the last 7 years, have you been arrested for, charged with, or convicted of any offense(s) not listed in response to A, B, C, D, E or F above?

If the answer to any of the above is "Yes," please explain the circumstances, including when the incident occurred, where, which law enforcement authority was involved, and the resolution of the matter.

3.
 - A) In the last 7 years, have you illegally used any controlled substance, for example, marijuana, cocaine, crack cocaine, hashish, narcotics, amphetamines, depressants, hallucinogenics, or prescription drugs?

 - B) Have you ever illegally used a controlled substance while employed as a law enforcement officer, prosecutor, or courtroom official; while possessing a security clearance; or while in a position directly and immediately affecting the public safety?

 - C) In the last 7 years, have you been involved in the illegal purchase, manufacture, trafficking, production, transfer, shipping, receiving, or sale of any narcotic, depressant, stimulant, hallucinogen, or cannabis for your own intended profit or that of another?

If the answer to any of the above is “Yes,” please explain the circumstances, including the dates, and identify the type of controlled substance/prescription drug involved.

4. In the last 7 years, has your use of alcoholic beverages resulted in any alcohol-related treatment or counseling (such as for alcohol abuse or alcoholism)? If so, please provide the dates of treatment, and the name and address of the counselor(s) or doctor(s).
5. Please detail any unfavorable information that may affect your application.

AFFIDAVIT

I, _____, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

(DATE)

(NAME)

(NOTARY)

Thank you for your interest in the position of United States District Court Judge, United States Attorney, or United States Marshal. Please fill out this questionnaire as completely as possible, but keep in mind that some of the questions may not apply to every applicant. For example, applicants for United States Marshal may not need to answer questions about previous litigation experience, while applicants for United States District Court Judge may not need to answer questions about firearms training. Use your best judgment in deciding which questions require your response.

The answers and information provided in response to this questionnaire will be maintained by the offices of Senator Brown, and provided only to those directly involved in the evaluation process. Please complete the application, submit an electronic copy to judicial_candidate@brown.senate.gov by June 4, 2010, and submit seventeen (17) paper copies, postmarked by June 4, 2010, to:

Brooke Hill
District Director
U.S. Senator Sherrod Brown
425 Walnut Street, Suite 2310
Cincinnati, Ohio 45202

For questions or further information, please contact Patrick I. Jackson, Legislative Assistant, U.S. Senator Sherrod Brown, at (202) 228-5567 or patrick_jackson@brown.senate.gov.